

# Supplement to "PyOcto: A high-throughput seismic phase associator"

Jannes Münchmeyer<sup>1</sup>

<sup>1</sup>Univ. Grenoble Alpes, Univ. Savoie Mont Blanc, CNRS, IRD, Univ. Gustave Eiffel, ISTerre, Grenoble, France

## S1 Distribution of picking stations in synthetics

To determine which stations pick for which event, we use a probabilistic process. Picks are determined independently by station and by event, but correlated between P and S pick within one station. The detection probability  $p$  for a pick is defined as:

$$p = (1 - \sigma(\frac{x - r}{w}))(1 - p_{failure}) \quad (1)$$

Here,  $\sigma$  denotes a sigmoid function,  $x$  the distance between station and event,  $r$  denotes a critical distance,  $w$  a decay constant, and  $p_{failure}$  the probability that the station is not working or polluted by high noise. This means that in the near field, the probability of a pick will be  $1 - p_{failure}$  while it decays to 0 over distance with a sigmoid. The values of  $r$  and  $w$  are derived from the event magnitude  $M$ .

$$r = A * M + B \quad (2)$$

$$w = \max(0.1r, 30 \text{ km}) \quad (3)$$

We use  $A = 120\text{km}$ ,  $B = 80\text{km}$ , and  $p_{failure} = 0.2$ .

To account for the fact that detection rates will depend on the current noise conditions at a station, we make the detection of P and S waves correlated. Using the same probability  $p$  for both P and S arrival, we generate two correlated Bernoulli variable with a correlation of 0.5.

Table S1: Parameters for the GaMMA associator. All parameters not provided in the table were used at their default values. The parameters for the application to the Iquique sequence are identical to the *Subduction* parameters. Where possible, we use the parameter name from the published implementation. We omit purely functional parameters.

| Parameter          | Shallow      | Subduction   |
|--------------------|--------------|--------------|
| ncpu               | 15           | 15           |
| x(km)              | [395, 605]   | [250, 600]   |
| y(km)              | [7560, 7790] | [7200, 8000] |
| z(km)              | [0, 30]      | [0, 250]     |
| p velocity         | 6.3          | 7.0          |
| s velocity         | 3.7          | 4.0          |
| use_dbscan         | True         | True         |
| use_amplitude      | False        | False        |
| method             | BGMM         | BGMM         |
| oversample_factor  | 4            | 4            |
| dbscan_eps         | 25           | 25           |
| dbscan_min_samples | 3            | 3            |
| min_picks_per_eq   | 10           | 10           |
| max_sigma11        | 2.0          | 2.0          |
| max_sigma22        | 1.0          | 1.0          |
| max_sigma12        | 1.0          | 1.0          |

Table S2: Parameters for the PyOcto associator. All parameters not provided in the table were used at their default values. The parameters for the application to the Iquique sequence are identical to the *Subduction* parameters. Where possible, we use the parameter name from the published implementation. We omit purely functional parameters. If two values are provided, the first is for the homogeneous velocity model, the second for the 1D model.

| Parameter                                  | Shallow        | Subduction     |
|--|----------------|----------------|
| xlim                                       | [395., 605.]   | [250., 600.]   |
| ylim                                       | [7560., 7790.] | [7200., 8000.] |
| zlim                                       | [0., 30.]      | [0., 250.]     |
| time_before                                | 300.           | 300            |
| n_picks                                    | 10             | 10             |
| n_p_and_s_picks                            | 4              | 4              |
| pick_match_tolerance                       | 2 / 1.5        | 2 / 1.5        |
| p_velocity                                 | 6.3            | 7.0            |
| s_velocity                                 | 3.7            | 4.0            |
| velocity_model_tolerance                   | 2 / 1.5        | 2 / 1.5        |
| velocity_model_association_cutoff_distance | 100            | 250            |

Table S3: Parameters for the REAL associator. All parameters not provided in the table were used at their default values. The parameters for the application to the Iquique sequence are identical to the *Subduction* parameters. We omit purely functional parameters. Note that some parameters are only used in the homogeneous (velocities) or in the 1D model (traveltime grid details).

| Parameter                   | Shallow | Subduction |
|-----------------------------|---------|------------|
| search_range_horizontal_deg | 0.2     | 1.0        |
| search_range_vertical_km    | 30      | 250        |
| grid_size_horizontal_deg    | 0.04    | 0.1        |
| grid_size_vertical_km       | 3       | 10         |
| vp                          | 6.3     | 7.0        |
| vs                          | 3.7     | 4.0        |
| shallow_vp                  | 5.3     | 5.3        |
| shallow_vs                  | 3.1     | 3.1        |
| elevation_correction        | False   | True       |
| tt_range_horizontal_deg     | 3       | 6          |
| tt_grid_size_horizontal_deg | 0.04    | 0.05       |
| tt_grid_size_vertical_km    | 3       | 3          |

Table S4: Full results of synthetic benchmark. We abbreviate *picks per event* as *ppe*.

| Scenario | Events | Noise | Associator | Precision | Recall | F1   | Missing ppe | Additional ppe | Run time [s] |
|----------|--------|-------|------------|-----------|--------|------|-------------|----------------|--------------|
| shallow  | 100    | 0.30  | Gamma      | 1.00      | 0.99   | 0.99 | 0.08        | 0.04           | 5.04         |
| shallow  | 100    | 0.30  | Gamma1D    | 1.00      | 0.99   | 0.99 | 0.06        | 0.04           | 13.02        |
| shallow  | 100    | 0.30  | PyOcto     | 1.00      | 0.96   | 0.98 | 0.06        | 0.03           | 0.74         |
| shallow  | 100    | 0.30  | PyOcto1D   | 1.00      | 0.96   | 0.98 | 0.04        | 0.03           | 1.52         |
| shallow  | 100    | 0.30  | REAL       | 0.99      | 0.93   | 0.96 | 2.16        | 0.01           | 10.11        |
| shallow  | 100    | 0.30  | REAL1D     | 1.00      | 0.93   | 0.96 | 5.91        | 0.00           | 11.52        |
| shallow  | 100    | 1.00  | Gamma      | 1.00      | 0.97   | 0.98 | 0.07        | 0.21           | 14.93        |
| shallow  | 100    | 1.00  | Gamma1D    | 1.00      | 0.98   | 0.99 | 0.02        | 0.21           | 16.13        |
| shallow  | 100    | 1.00  | PyOcto     | 1.00      | 0.96   | 0.98 | 0.28        | 0.21           | 1.15         |
| shallow  | 100    | 1.00  | PyOcto1D   | 1.00      | 0.96   | 0.98 | 0.23        | 0.16           | 1.54         |
| shallow  | 100    | 1.00  | REAL       | 0.98      | 0.93   | 0.95 | 2.86        | 0.08           | 22.86        |
| shallow  | 100    | 3.00  | Gamma      | 0.97      | 0.86   | 0.91 | 0.17        | 0.59           | 120.52       |
| shallow  | 100    | 3.00  | Gamma1D    | 0.98      | 0.86   | 0.91 | 0.06        | 0.56           | 49.20        |
| shallow  | 100    | 3.00  | PyOcto     | 1.00      | 0.96   | 0.98 | 0.47        | 0.68           | 1.69         |
| shallow  | 100    | 3.00  | PyOcto1D   | 1.00      | 0.96   | 0.98 | 0.41        | 0.55           | 2.11         |
| shallow  | 100    | 3.00  | REAL       | 0.99      | 0.96   | 0.97 | 3.20        | 0.41           | 59.62        |
| shallow  | 100    | 3.00  | REAL1D     | 0.95      | 0.91   | 0.93 | 8.90        | 0.42           | 64.44        |
| shallow  | 500    | 0.30  | Gamma      | 0.98      | 0.92   | 0.95 | 0.34        | 0.37           | 126.18       |
| shallow  | 500    | 0.30  | Gamma1D    | 0.98      | 0.92   | 0.95 | 0.32        | 0.37           | 48.08        |
| shallow  | 500    | 0.30  | PyOcto     | 1.00      | 0.93   | 0.96 | 0.68        | 0.52           | 4.47         |
| shallow  | 500    | 0.30  | PyOcto1D   | 1.00      | 0.93   | 0.97 | 0.61        | 0.42           | 5.37         |
| shallow  | 500    | 0.30  | REAL       | 0.99      | 0.93   | 0.96 | 3.07        | 0.33           | 64.30        |
| shallow  | 500    | 0.30  | REAL1D     | 0.95      | 0.84   | 0.89 | 7.55        | 0.33           | 71.81        |
| shallow  | 500    | 1.00  | Gamma      | 0.93      | 0.85   | 0.89 | 0.39        | 1.02           | 2967.45      |
| shallow  | 500    | 1.00  | Gamma1D    | 0.94      | 0.86   | 0.90 | 0.34        | 1.00           | 341.33       |
| shallow  | 500    | 1.00  | PyOcto     | 1.00      | 0.95   | 0.97 | 0.69        | 1.07           | 5.17         |
| shallow  | 500    | 1.00  | PyOcto1D   | 1.00      | 0.95   | 0.97 | 0.63        | 0.76           | 5.95         |
| shallow  | 500    | 1.00  | REAL       | 1.00      | 0.96   | 0.98 | 2.88        | 0.64           | 120.98       |
| shallow  | 500    | 1.00  | REAL1D     | 0.96      | 0.87   | 0.91 | 7.65        | 0.62           | 132.69       |
| shallow  | 500    | 3.00  | PyOcto     | 1.00      | 0.94   | 0.97 | 1.04        | 3.17           | 7.75         |
| shallow  | 500    | 3.00  | PyOcto1D   | 1.00      | 0.94   | 0.97 | 0.93        | 2.38           | 8.63         |
| shallow  | 500    | 3.00  | REAL       | 0.99      | 0.92   | 0.96 | 3.14        | 1.79           | 280.00       |
| shallow  | 500    | 3.00  | REAL1D     | 0.95      | 0.85   | 0.90 | 6.94        | 1.86           | 304.51       |
| shallow  | 2000   | 0.30  | PyOcto     | 0.99      | 0.88   | 0.93 | 1.85        | 2.31           | 21.37        |
| shallow  | 2000   | 0.30  | PyOcto1D   | 0.99      | 0.89   | 0.94 | 1.53        | 1.77           | 24.98        |
| shallow  | 2000   | 0.30  | REAL       | 0.99      | 0.87   | 0.93 | 3.96        | 1.53           | 293.98       |
| shallow  | 2000   | 0.30  | REAL1D     | 0.93      | 0.78   | 0.85 | 8.24        | 1.68           | 315.48       |

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Table S4: Full results of synthetic benchmark. We abbreviate *picks per event* as *ppe*.

| Scenario   | Events | Noise | Associator | Precision | Recall | F1   | Missing ppe | Additional ppe | Run time [s] |
|------------|--------|-------|------------|-----------|--------|------|-------------|----------------|--------------|
| shallow    | 2000   | 1.00  | PyOcto     | 0.98      | 0.87   | 0.92 | 2.27        | 4.88           | 25.85        |
| shallow    | 2000   | 1.00  | PyOcto1D   | 0.99      | 0.89   | 0.94 | 1.82        | 3.69           | 29.22        |
| shallow    | 2000   | 1.00  | REAL       | 0.99      | 0.88   | 0.93 | 4.27        | 3.03           | 541.86       |
| shallow    | 2000   | 1.00  | REAL1D     | 0.94      | 0.77   | 0.85 | 8.36        | 3.12           | 570.99       |
| shallow    | 2000   | 3.00  | PyOcto     | 0.65      | 0.70   | 0.67 | 3.81        | 11.81          | 118.86       |
| shallow    | 2000   | 3.00  | PyOcto1D   | 0.85      | 0.81   | 0.83 | 3.00        | 9.18           | 118.70       |
| shallow    | 2000   | 3.00  | REAL       | 0.90      | 0.79   | 0.84 | 5.33        | 7.17           | 1247.50      |
| shallow    | 2000   | 3.00  | REAL1D     | 0.80      | 0.68   | 0.74 | 9.55        | 7.39           | 1293.43      |
| subduction | 100    | 0.30  | Gamma      | 0.98      | 0.97   | 0.97 | 3.18        | 0.02           | 5.50         |
| subduction | 100    | 0.30  | Gamma1D    | 1.00      | 0.98   | 0.99 | 0.41        | 0.03           | 9.73         |
| subduction | 100    | 0.30  | PyOcto     | 1.00      | 0.99   | 0.99 | 3.22        | 0.07           | 0.44         |
| subduction | 100    | 0.30  | PyOcto1D   | 1.00      | 0.98   | 0.99 | 0.32        | 0.05           | 1.34         |
| subduction | 100    | 0.30  | REAL       | 1.00      | 0.97   | 0.98 | 2.36        | 0.10           | 149.07       |
| subduction | 100    | 0.30  | REAL1D     | 1.00      | 0.97   | 0.98 | 0.94        | 0.08           | 155.14       |
| subduction | 100    | 1.00  | Gamma      | 0.94      | 0.95   | 0.95 | 3.48        | 0.06           | 7.31         |
| subduction | 100    | 1.00  | Gamma1D    | 1.00      | 0.98   | 0.99 | 0.46        | 0.07           | 8.19         |
| subduction | 100    | 1.00  | PyOcto     | 0.99      | 0.98   | 0.98 | 3.24        | 0.14           | 1.45         |
| subduction | 100    | 1.00  | PyOcto1D   | 1.00      | 0.98   | 0.99 | 0.44        | 0.12           | 0.80         |
| subduction | 100    | 1.00  | REAL       | 0.99      | 0.98   | 0.98 | 2.73        | 0.14           | 282.84       |
| subduction | 100    | 1.00  | REAL1D     | 1.00      | 0.98   | 0.99 | 1.16        | 0.32           | 322.51       |
| subduction | 100    | 3.00  | Gamma      | 0.98      | 0.94   | 0.96 | 3.61        | 0.28           | 7.73         |
| subduction | 100    | 3.00  | Gamma1D    | 0.98      | 0.98   | 0.98 | 0.64        | 0.14           | 13.13        |
| subduction | 100    | 3.00  | PyOcto     | 1.00      | 0.99   | 0.99 | 3.02        | 0.33           | 7.87         |
| subduction | 100    | 3.00  | PyOcto1D   | 1.00      | 0.98   | 0.99 | 0.41        | 0.23           | 6.78         |
| subduction | 100    | 3.00  | REAL       | 1.00      | 0.96   | 0.98 | 2.32        | 0.33           | 633.18       |
| subduction | 100    | 3.00  | REAL1D     | 1.00      | 0.99   | 0.99 | 1.09        | 0.41           | 807.16       |
| subduction | 500    | 0.30  | Gamma      | 0.90      | 0.83   | 0.87 | 3.84        | 0.31           | 65.93        |
| subduction | 500    | 0.30  | Gamma1D    | 0.98      | 0.91   | 0.94 | 0.59        | 0.24           | 25.80        |
| subduction | 500    | 0.30  | PyOcto     | 0.97      | 0.94   | 0.95 | 3.57        | 0.35           | 29.65        |
| subduction | 500    | 0.30  | PyOcto1D   | 1.00      | 0.97   | 0.98 | 0.61        | 0.27           | 11.59        |
| subduction | 500    | 0.30  | REAL       | 0.99      | 0.92   | 0.95 | 3.13        | 0.44           | 781.53       |
| subduction | 500    | 0.30  | REAL1D     | 0.99      | 0.93   | 0.96 | 1.38        | 0.55           | 861.39       |
| subduction | 500    | 1.00  | Gamma      | 0.78      | 0.70   | 0.74 | 3.91        | 0.64           | 115.60       |
| subduction | 500    | 1.00  | Gamma1D    | 0.96      | 0.85   | 0.90 | 0.76        | 0.48           | 48.98        |
| subduction | 500    | 1.00  | PyOcto     | 0.96      | 0.92   | 0.94 | 3.73        | 0.70           | 40.84        |
| subduction | 500    | 1.00  | PyOcto1D   | 0.99      | 0.96   | 0.98 | 0.74        | 0.56           | 39.70        |
| subduction | 500    | 1.00  | REAL       | 0.98      | 0.90   | 0.93 | 3.19        | 0.79           | 1480.71      |

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Table S4: Full results of synthetic benchmark. We abbreviate *picks per event* as *ppe*.

| Scenario   | Events | Noise | Associator | Precision | Recall | F1   | Missing ppe | Additional ppe | Run time [s] |
|------------|--------|-------|------------|-----------|--------|------|-------------|----------------|--------------|
| subduction | 500    | 1.00  | REAL1D     | 0.98      | 0.91   | 0.94 | 1.57        | 1.13           | 1803.82      |
| subduction | 500    | 3.00  | Gamma      | 0.61      | 0.47   | 0.53 | 4.51        | 1.62           | 11758.45     |
| subduction | 500    | 3.00  | Gamma1D    | 0.71      | 0.56   | 0.62 | 0.86        | 1.12           | 1514.86      |
| subduction | 500    | 3.00  | PyOcto     | 0.95      | 0.93   | 0.94 | 3.66        | 1.55           | 21.26        |
| subduction | 500    | 3.00  | PyOcto1D   | 0.99      | 0.98   | 0.99 | 1.00        | 1.09           | 7.56         |
| subduction | 500    | 3.00  | REAL       | 0.97      | 0.90   | 0.93 | 3.29        | 1.55           | 3467.77      |
| subduction | 500    | 3.00  | REAL1D     | 0.98      | 0.94   | 0.96 | 2.08        | 2.26           | 4470.67      |
| subduction | 2000   | 0.30  | Gamma      | 0.54      | 0.43   | 0.48 | 4.85        | 1.38           | 2842.45      |
| subduction | 2000   | 0.30  | Gamma1D    | 0.82      | 0.64   | 0.72 | 1.07        | 0.96           | 382.85       |
| subduction | 2000   | 0.30  | PyOcto     | 0.89      | 0.81   | 0.85 | 4.51        | 1.45           | 109.50       |
| subduction | 2000   | 0.30  | PyOcto1D   | 0.98      | 0.89   | 0.93 | 1.48        | 0.98           | 93.24        |
| subduction | 2000   | 0.30  | REAL       | 0.92      | 0.72   | 0.80 | 4.21        | 1.56           | 3210.97      |
| subduction | 2000   | 0.30  | REAL1D     | 0.97      | 0.78   | 0.87 | 2.60        | 2.00           | 3574.75      |
| subduction | 2000   | 1.00  | Gamma1D    | 0.49      | 0.45   | 0.47 | 1.06        | 2.03           | 140152.07    |
| subduction | 2000   | 1.00  | PyOcto     | 0.83      | 0.77   | 0.80 | 4.51        | 2.46           | 176.07       |
| subduction | 2000   | 1.00  | PyOcto1D   | 0.96      | 0.89   | 0.92 | 1.90        | 1.83           | 146.68       |
| subduction | 2000   | 1.00  | REAL       | 0.89      | 0.70   | 0.78 | 4.54        | 2.73           | 5571.98      |
| subduction | 2000   | 1.00  | REAL1D     | 0.93      | 0.76   | 0.84 | 3.34        | 3.42           | 6602.46      |
| subduction | 2000   | 3.00  | PyOcto     | 0.27      | 0.51   | 0.36 | 5.74        | 5.47           | 636.98       |
| subduction | 2000   | 3.00  | PyOcto1D   | 0.46      | 0.74   | 0.57 | 3.35        | 4.20           | 679.37       |
| subduction | 2000   | 3.00  | REAL       | 0.43      | 0.43   | 0.43 | 5.87        | 5.70           | 9675.44      |
| subduction | 2000   | 3.00  | REAL1D     | 0.51      | 0.54   | 0.53 | 5.02        | 6.57           | 11269.04     |

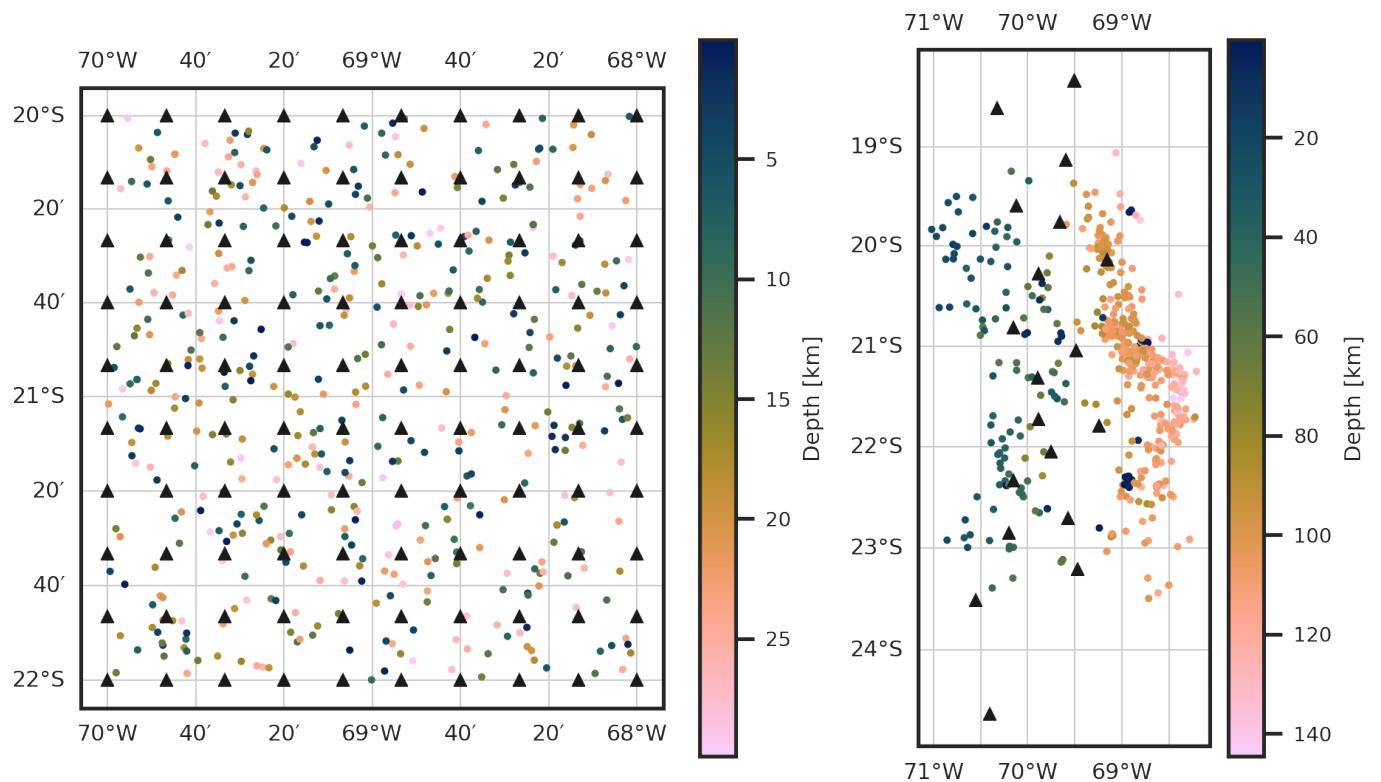


Figure S1: Example maps for the shallow (left) and subduction (right) scenario. Both examples show the station configuration with black triangles. In addition, they visualize an example distribution of 500 seismic events. The station configuration is kept stable across all experiments while the realisation of events changes.

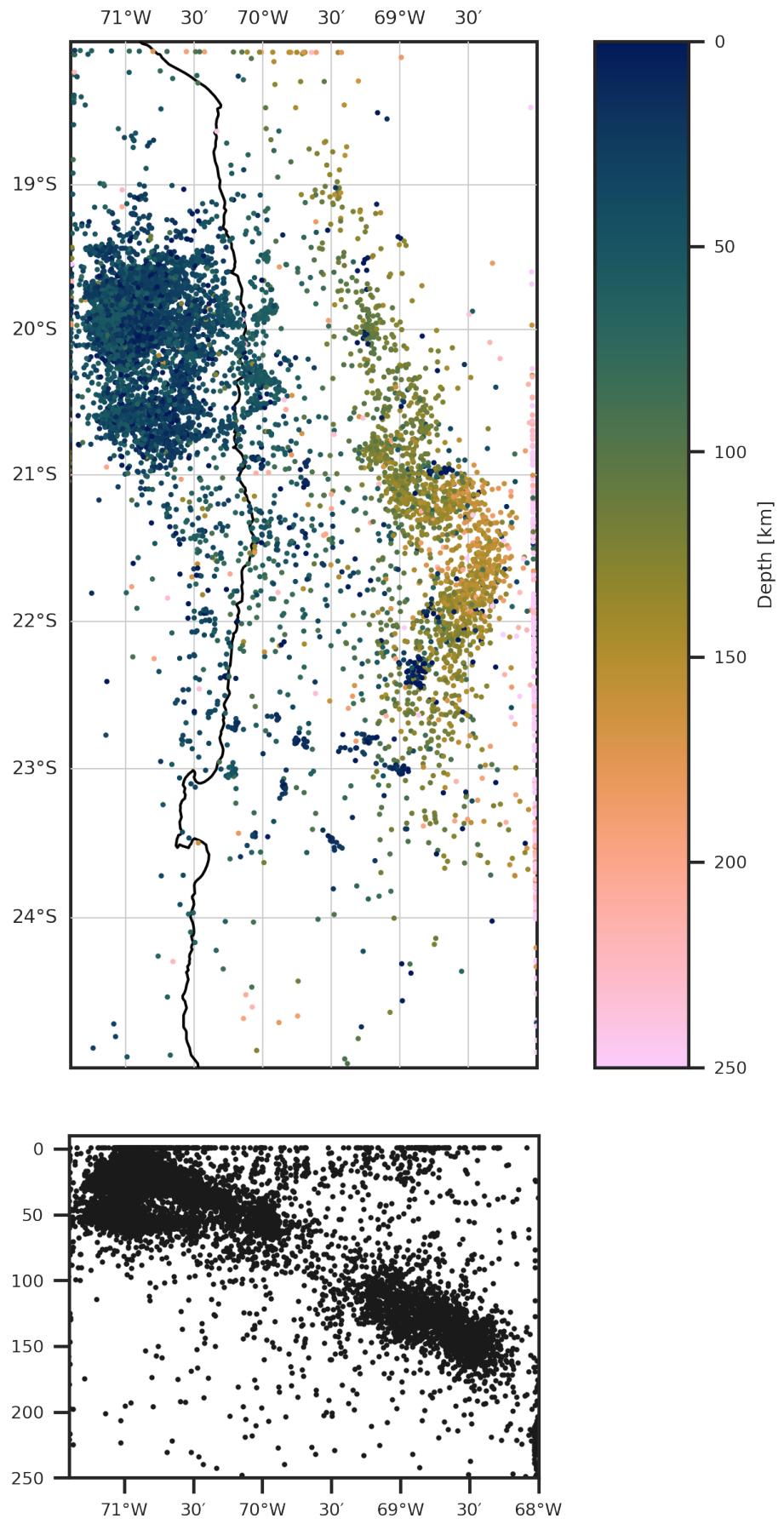


Figure S2: Visualisation of the catalog for the Iquique sequence generated with GaMMA using a 1D velocity model. Note that the catalog only captures 22 of 31 days as GaMMA did not converge for the other days.

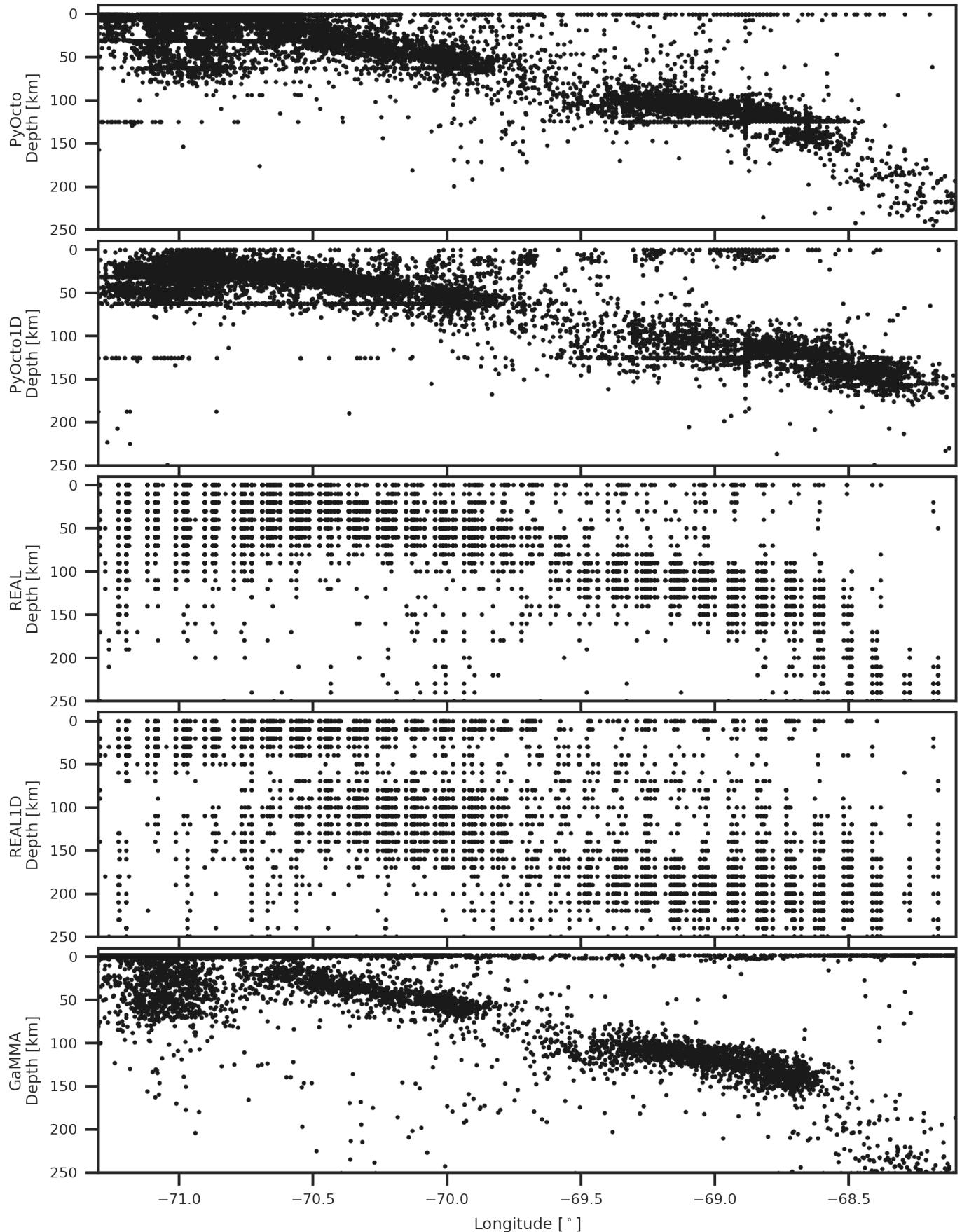


Figure S3: Cross section views of the catalogs generated for the Iquique sequence (15th March 2014 to 15th April 2014) using different phase associators. We visualize the output locations as provided by the associators. Please note that in a comprehensive workflow, absolute and relative relocation techniques should be used as a refinement step. Gridding for REAL is caused by the grid-search approach, stripes for PyOcto by local minima in the EDT loss for localization.

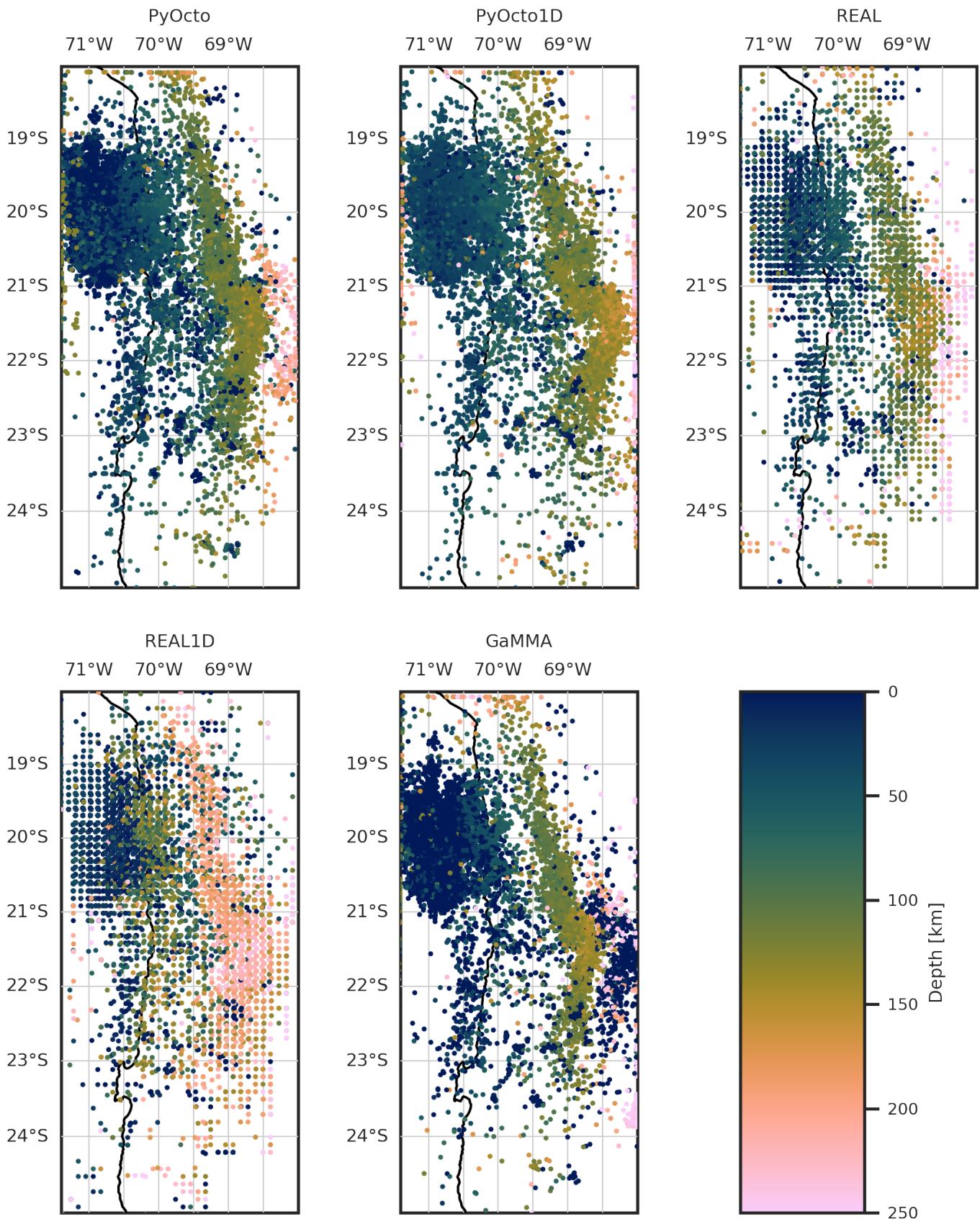


Figure S4: Same as Figure 5 but requiring only 7 total picks and 3 stations with P and S picks.

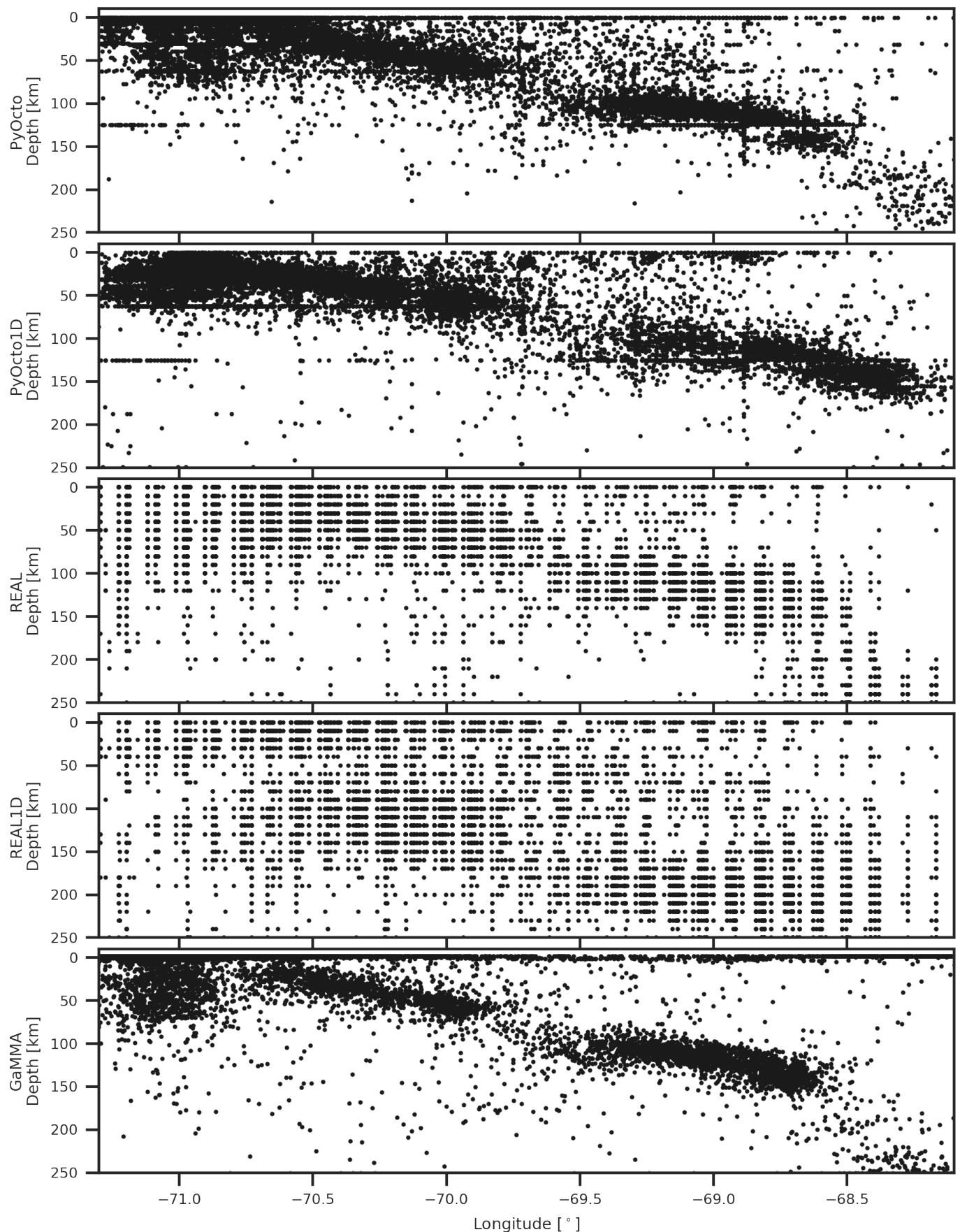


Figure S5: Same as Figure S3 but requiring only 7 total picks and 3 stations with P and S picks.

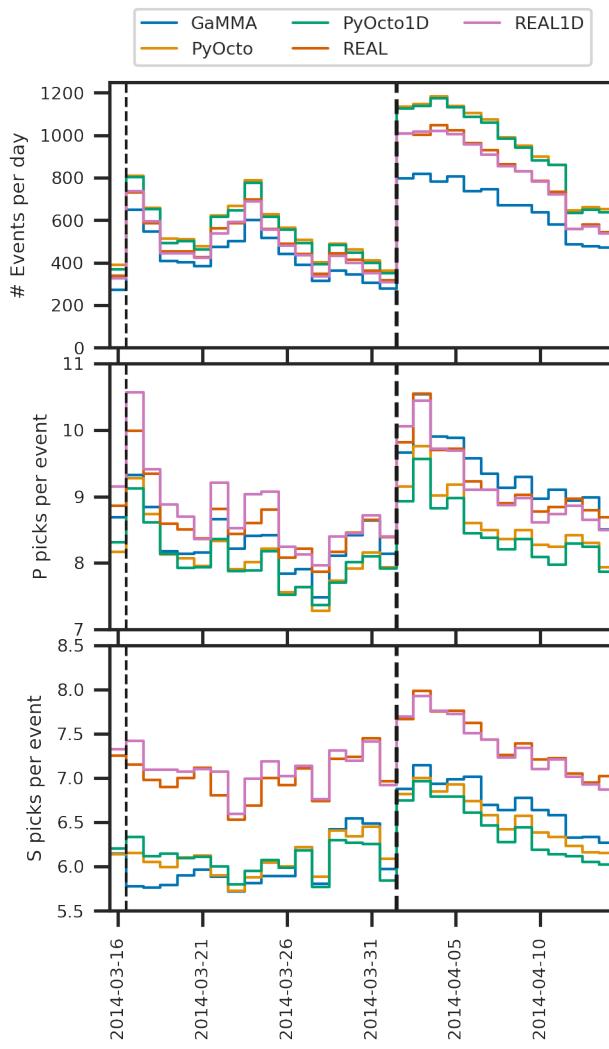


Figure S6: Same as Figure 6 but requiring only 7 total picks and 3 stations with P and S picks.